

# Surface Oxide Protection of Si(111) in Solution by the Surfactant Molecules

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## Abstract

It has been attempted to immobilize organic mono layer on semiconductor surface as functional materials. Silicon surface was especially noticed to develop highly efficient and functional devices, and the silicon devices were expected for the immobilized surface with organic layer. Then we have attempted the immobilization by the mono layer on the hydrogen terminated silicon surface with the alkyl base indicated hydrophobic by using a surfactant. We have observed interactions of immobilized molecules and organic molecules adsorbed on hydrogen terminated Si(111), which is aerosol OT as known surfactant and 4-cyanophenol as shown amphipathic molecule. The aerosol OT inhibited oxidation of Si(111) surface by adsorption to the hydrogen terminated surface to indicate hydrophobic. The 4-cyanophenol made the surface oxide by adsorption, and was desorbed by forming hydrophilic Si surface. In the case of the mixed solution by the aerosol OT and 4-cyanophenol, the aerosol OT controlled the surface oxidation on the hydrogen terminated Si against the 4-cyanophenol.

## Keywords

Adsorption, Silicon, Hydrogen Termination, Amphipathic, *In-Situ* ATR-FTIR

## Introduction

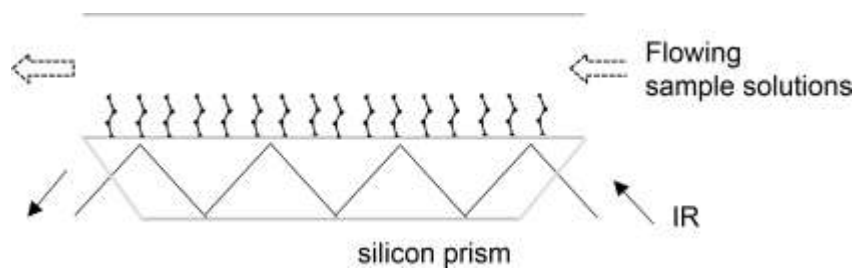
It has been attempted to immobilize organic mono layer on metal or semiconductor surface etc. as functional materials [1] [2] [3] [4] [5]. Si surface was especially noticed to develop highly efficient and functional devices, and the Si devices were expected for the immobilized surface with organic layer. The typically functional organic layer was known as a biological membrane, which is an amphipathic material in consisting of hydrophobic and hydrophilic bases [6] [7]. The structure has double layer, which the two phosphatides face mutually on the hydrophobic bases in consisting of two alkyl chains [8] [9]. It would show an ideal model to analyze the function of the biological membrane by replacing the unilateral phosphatide with a hydrogen terminated Si (H-Si) surface. The H-Si surface is obtained by etching Si wafer with HF and NH<sub>4</sub>F solution to peel oxide layer on the surface [10] [11] [12]. Then we have attempted the immobilization by the mono layer on the H-Si surface with the alkyl base indicated hydrophobic by using a surfactant.

On the other hand, behaviors have been investigated about co-adsorption between alkyl thiol and aromatic

thiol on Au substrate by some groups. The adsorbed form was known as  $3 \times 3$  structure in the alkyl thiol by itself, and was reported about domain structure in the co-adsorption with the alkyl thiol and aromatic thiol, which have tried to produce an electrode with the controlled domain structure, however the adsorption mechanism is not clearly [13] [14] [15]. We have attempted the analysis of the co-adsorption mechanism for an aerosol OT as an amphipathic organic molecule known to surfactant and 4-cyanophenol as aromatic molecule on the Si(111) surface by *in-situ* ATR-FTIR.

## Experimental

The Si substrate has used a single crystal semiconductor as n-Si(111), and been cut at  $50 \times 17$  nm with mirror polishing at  $45^\circ$  for the either side as ATR prism in **Figure 1**. The substrate was treated RCA washing with conc.  $\text{H}_2\text{SO}_4 + 30\% \text{H}_2\text{O}_2$  mixed solution, and immersed in 5% HF solution for 5 min and 25%  $\text{NH}_4\text{OH} + 30\% \text{H}_2\text{O}_2$  mixed solution at  $80^\circ\text{C}$  for 10 min. The sample was applied as ATR prism simultaneously, and measured by  $45^\circ$  as an angle of IR incidence in flowing  $\text{H}_2\text{O}$ . Next, the sample was treated with hydrogen termination by flowing 5% HF solution for 10 min, and smoothed atomically on the surface by flowing 40%  $\text{NH}_4\text{OH}$  solution for 7 min as measuring *in-situ* ATR-FTIR. Furthermore, the sample was measured by flowing 4-cyanophenol as the adsorbed aromatic molecule, or flowing 4-bis(2-ethylhexyl)sodium sulfosuccinate solution (aerosol OT) as the immobilized organic molecule with two alkyl chains known as a surfactant. We performed experimental patterns of (1) flowing only 4-cyanophenol solution, (2) flowing mixed 4-cyanophenol and aerosol OT solution, and (3) flowing 4-cyanophenol solution after adsorb aerosol OT by flowing it.



**Figure 1.** A cell of *in-situ* ATR-FTIR by a cross section.

## Results and Discussion

### Flowing to Only 4-Cyanophenol

**Figure 2** shows *in-situ* ATR-FTIR spectra on the hydrogen terminated Si surface under flowing to  $1 \times 10^{-3}$  M 4-cyanophenol solution. A peak was observed at  $2234 \text{ cm}^{-1}$  based on cyano group, and would be weak by desorption with time passes. Si-H peak intensity also decreased with desorption of the cyano group, which would indicate oxidation of the Si surface gradually with 4-cyanophenol desorption. The aromatic group of 4-cyanophenol will be adsorbed on the Si-H surface to indicate hydrophobic. Then, the Si surface may be oxidated by adsorption with  $\text{H}_2\text{O}$  molecule simultaneously to inhibit strong polarity based on cyano and hydroxyl group.

Similarly, **Figure 3** shows the spectrum on  $\text{SiO}_2$  substrate indicating hydrophilic under flowing  $5 \times 10^{-3}$  M 4-cyanophenol solution. The larger peak of cyano group based on 4-cyanophenol on the hydrogen

terminated Si was obtainthan that of SiO<sub>2</sub>, which would have the advantage of hydrophobic surface.

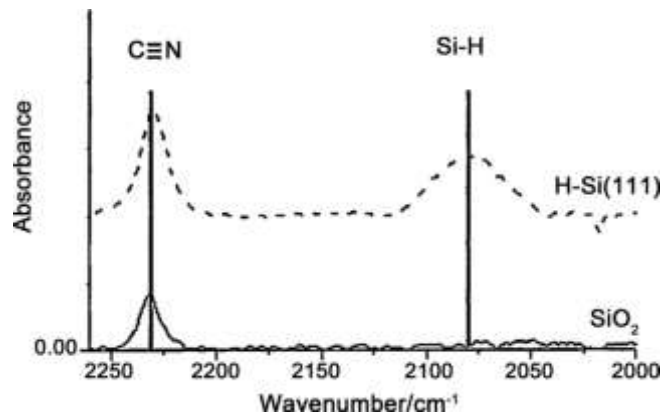


Figure 2. Spectra under flowing to  $1 \times 10^{-3}$  M the 4-cyanophenol solution on the hydro- gen terminated Si(111) surface.

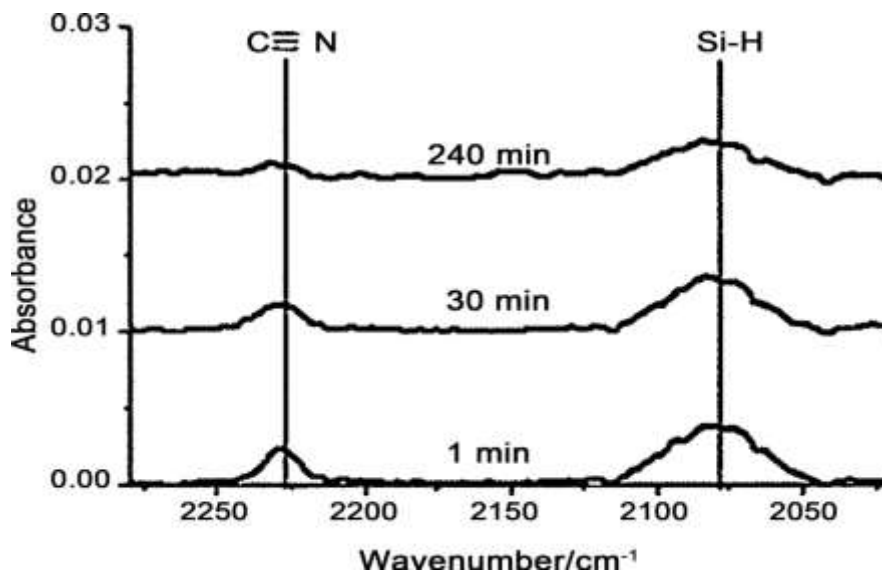
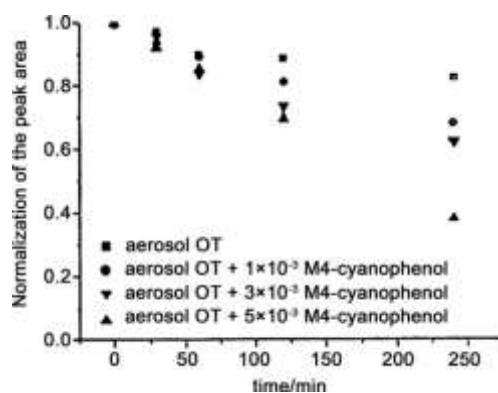


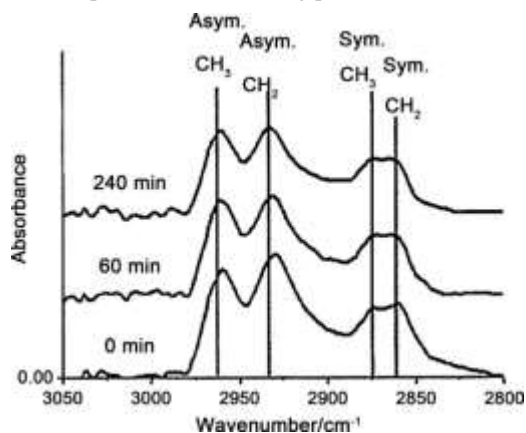
Figure 3. Spectra under flowing to  $5 \times 10^{-3}$  M the 4-cyanophenol solution on the SiO<sub>2</sub> surface and hydrogen terminated Si(111) surface as broken line.

## Flowing to 4-Cyanophenol and Aerosol OT

At first, spectra was reported by flowing  $1 \times 10^{-2}$  M aerosol OT on the H-Si(111), which observed spectra on assigned to  $\text{CH}_x$  stretching vibration based on the aerosol OT, and the peak intensity increased with time passes [16]. This result would show adsorption of the aerosol OT onto the hydrogen terminated Si surface over time. In this study, the spectra were measured under the flow of the 4-cyanophenol and aerosol OT mixed solution at variation with time as shown in **Figure 4**. The concentration of the 4-cyanophenol solution is  $1 \times 10^{-3}$  M,  $3 \times 10^{-3}$  M and  $5 \times 10^{-3}$  M, and that of the aerosol OT solution is  $1 \times 10^{-2}$  M. The peak intensity of cyano group based on 4-cyanophenol was increased with more thickness in the solution, which would show much adsorption of the 4-cyanophenol onto the hydrogen terminated Si surface. On the other hand, the peak intensity of the cyano group hardly changed at the time passes in comparing with **Figure 2**. This result will indicate that the 4-cyanophenol is keeping adsorption on the Si surface because the aerosol OT layer makes the adsorbed 4-cyanophenol protect by taking in the layer like a biological membrane. By contact, the peak intensity of Si-H decreased slowly, and plotted the decrease rate as referencing the peak area at 1 min as shown in **Figure 5**. The higher concentration of 4-cyanophenol solution made the Si-H peak intensity decrease. These results show proceeding to the oxidation of the Si surface, and may indicate an influence of  $\text{H}_2\text{O}$  adsorption by the 4-cyanophenol like the mechanism in **Figure 2**.



**Figure 5.** Normalization plots of the decreasing peak area with time course

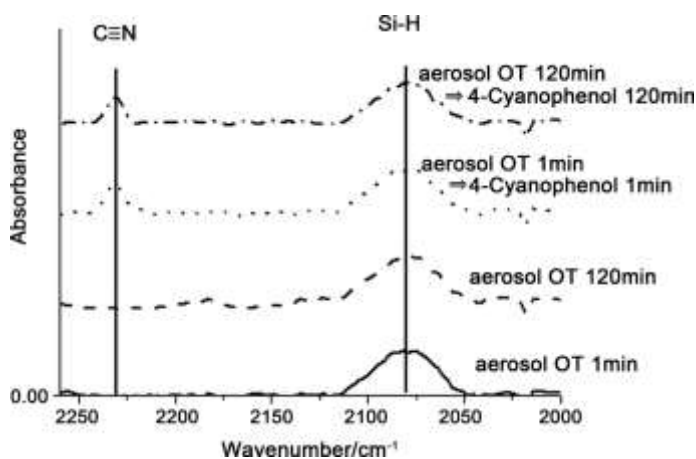


**Figure 6.**  $\text{CH}_x$  spectra based on the aerosol OT under flowing the  $3 \times 10^{-3}$  M 4-cyanophenol and  $1 \times 10^{-2}$  M aerosol OT mixed solution.

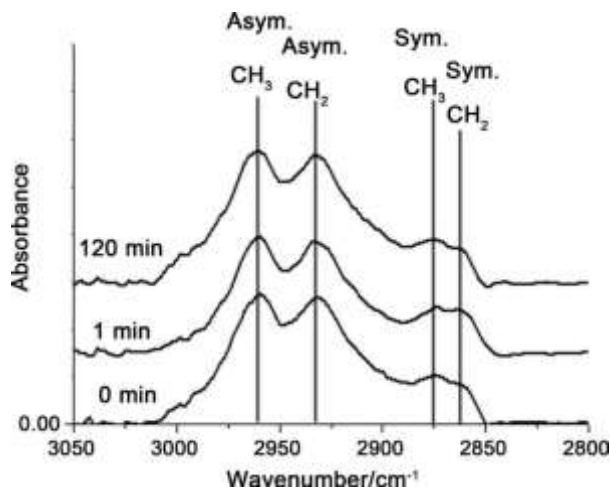
Spectra on assigned to  $\text{CH}_x$  stretching vibration based on the aerosol OT is showed in **Figure 6**. The peak intensity did not increase with time passes in spite of flowing into the 4-cyanophenol solution. The reason would be suitable for the aerosol layer adsorbed on the hydrogen terminated Si surface, because a behavior of the aerosol layer is reported in the presence of organic thiol molecule on the Au substrate, and the results will be similar to ours [14].

### Flowing to 4-Cyanophenol after Immobilizing Aerosol OT

Spectra were indicated under flowing to the  $3 \times 10^{-3}$  M 4-cyanophenol solution after the  $1 \times 10^{-2}$  aerosol OT solution as shown in **Figure 7**. The peak intensity of cyano group based on 4-cyanophenol hardly changed at time passes, which would protect the adsorption of the 4-cyanophenol onto the Si surface by the aerosol OT layer. Thus, the 4-cyanophenol will not slip into the aerosol OT layer at time passes by the immobilization on the Si surface. Furthermore, the Si surface will not be oxidation not to decrease in the peak intensity of the Si-H at time passes. Spectra on assigned to  $\text{CH}_x$  stretching vibration based on the aerosol OT is showed after adding the 4-cyanophenol solution in **Figure 8**.



**Figure 7.** Spectra under flowing to the  $1 \times 10^{-2}$  M aerosol OT solution, and the  $3 \times 10^{-3}$  M 4-cyanophenol solution after to the  $1 \times 10^{-2}$  M aerosol OT solution.



**Figure 8.**  $\text{CH}_x$  spectra based on the aerosol OT under flowing the  $3 \times 10^{-3}$  M 4-cyanophenol and  $1 \times 10^{-2}$  M aerosol OT mixed solution.

## Conclusion

The 4-cyanophenol was adsorbed onto the hydrophobic surface by the hydrogen terminated Si, and was desorbed by the hydrophilic surface to oxide on the surface. These results might show that the 4-cyanophenol brought H<sub>2</sub>O molecule by the cyano and hydroxyl group onto the Si surface, and the H<sub>2</sub>O molecule made the surface oxide. The aerosol OT will adsorb by the alkyl chain shown as the hydrophobic onto the surface, furthermore the layer will protect oxidation of the Si surface and will prevent the layer slipping in the presence of the 4-cyanophenol.

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